

# WYSS & PARTNER

## Complaints Procedure

The following procedural rules were issued to create and apply an effective and transparent procedure for the appropriate and prompt handling of external complaints. Wyss & Partner thus meets the regulatory requirements in Switzerland as well as in the EU and the EEA as well as the special interests of investors.

Complaints related to investment funds managed or distributed by Wyss & Partner are handled professionally, efficiently, and consistently, and in compliance with applicable laws and regulations. To assert a right or seek redress for damage, a complaint must always be submitted in writing. Simple requests for information or explanations do not constitute complaints.

Anyone who is or was invested in an investment fund managed or distributed by Wyss & Partner is entitled to lodge a complaint. The complaint can also be filed by a legal representative of an affected investor.

Wyss & Partner has appointed a complaints officer who investors or their legal representatives of an investment fund managed or distributed by Wyss & Partner can contact at any time. You can address your request to us as follows:

### **Wyss & Partner Asset Management and Investment Counseling AG**

Complaint Management

Bahnhofstrasse 17

CH-7323 Wangs

P +41 81 720 06 88

F +41 81 720 06 89

info@wysspartner.ch

To ensure that your request is processed quickly and competently, please provide us with the following information:

- Name of the investment fund in question, stating its ISIN or securities number
- Facts of the case and reason for complaint
- Your contact details (name, address, phone and e-mail)

Wyss & Partner will process your request immediately and provide you with prompt feedback, depending on the complexity of the complaint. You will not incur any costs for the processing of your request.

Alternatively, it is possible to address a concern or complaint directly to the Ombudsman's Office, Dispute Resolution Services pursuant to the Federal Financial Services Act (FinSA). This can be done by submitting a request for mediation (form can be downloaded from the website of the Ombudsman's Office).



Wyss & Partner is affiliated with the following ombudsman office:

**OFS Ombud Finance Switzerland**

16 Boulevard des Tranchées

1206 Geneva, Switzerland

Phone: +41 22 808 04 51

contact@ombudfinance.ch

ombudfinance.ch

Wyss & Partner regularly monitors the effectiveness of this policy to detect and promptly correct any deficiencies.

Wyss & Partner, 25.09.2024

